

Improving Static Dependency Pairs for Higher-Order Rewriting



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Why is termination of *higher-order* rewriting interesting?

Recently:

- Broader interest in termination analysis tools for **higher-order rewriting**
- Categories at the **Termination Competition**
 - “Higher-order rewriting union β ”: since 2010
 - “Rewriting with higher-order pattern matching on β -normal η -long forms (HRS)”: under discussion
- New tools at TermComp 2017 (SOL) and 2018 (SizeChangeTool)
- Growing number of benchmarks in the **TPDB**
- Tools also used as back-end oracles in **Confluence Competition**

Higher-order rewriting in practice

- **Specification language** (with syntactic sugar) for **compiler synthesis**: CRSX [Rose, RTA'11] (successor tool TransScript used at IBM)

<http://crsx.sourceforge.net/>

Termination of **higher-order** specification

⇒ **termination of the executable compiler**

- Termination analysis for functional programs
- Nuances in definitions of higher-order rewriting matter
 - discussion on `termtools` mailing list about *plain* matching vs matching *modulo beta* at TermComp 2018

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<http://wandahot.sourceforge.net/>

- allows transformations from many formalisms of interest for termination
- none of those inconvenient non-patterns
- no automatic beta-reduction (matching is syntactic)
- AFSMs directly contain the format in TermComp
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HOW STANDARDS PROLIFERATE:

(SEE: A/C CHARGERS, CHARACTER ENCODINGS, INSTANT MESSAGING, ETC.)

SITUATION:
THERE ARE
14 COMPETING
STANDARDS.

14?! RIDICULOUS!
WE NEED TO DEVELOP
ONE UNIVERSAL STANDARD
THAT COVERS EVERYONE'S
USE CASES.



YEAH!

SOON:

SITUATION:
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<https://xkcd.com/927/>

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- variables for binding, meta-variables for matching
- matching using meta-variables (with arity)
- application
- beta-rule always present
- simply-typed terms
- functional output types allowed
- rules may have functional type
- higher-order meta-variables do not *have* to take arguments

$$G[x] : \text{nat}$$

$$F \cdot x$$

$$(\lambda x.s) \cdot t \rightarrow_{\beta} s[x := t]$$

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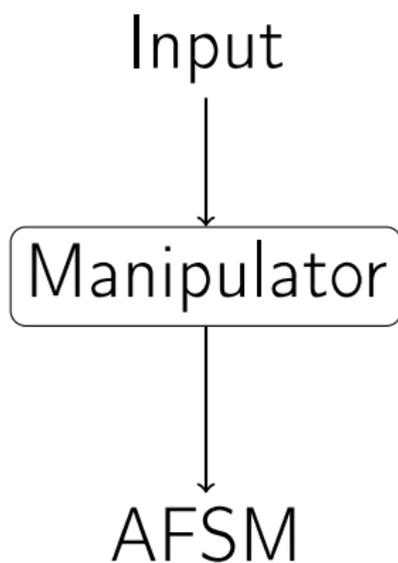
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Termination of First-Order Term Rewriting: append

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- If $s \triangleright t$ for all $s \Rightarrow t \in DP$: termination proved!

Dependency Pairs and the Subterm Criterion

Example (Dependency Pair for append)

$DP :$ $\text{cons } x \ xs$ \triangleright xs

Show termination using Dependency Pairs [Arts, Giesl, TCS'00]:

- Show: **No ∞ call sequence** with DP (eval of DP 's args via \mathcal{R})
- Call sequence: infinite **minimal** chain (all t_i are \mathcal{R} -terminating)

$$\nu(s_1) \triangleright \nu(t_1) \rightarrow_{\mathcal{R}}^* \nu(s_2) \triangleright \nu(t_2) \rightarrow_{\mathcal{R}}^* \nu(s_3) \triangleright \nu(t_3) \rightarrow_{\mathcal{R}}^* \dots \not\Leftarrow$$

Subterm criterion [Hirokawa, Middeldorp, IC'07]:

- Use simple projection ν mapping each \sharp -symbol in DP to an argument

$$\nu(\text{append}^\sharp) = 1$$

- Extend to terms: $\nu(f^\sharp t_1 \dots t_n) = t_{\nu(f^\sharp)}$

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Termination of Higher-Order Term Rewriting: map

Example (map)

$$\begin{array}{l} \text{map } F \text{ nil} \quad \rightarrow \quad \text{nil} \\ \text{map } F \text{ (cons } x \text{ xs)} \quad \rightarrow \quad \text{cons } (F \ x) \text{ (map } F \text{ xs)} \end{array}$$

$0 : \text{nat}, \quad s : \text{nat} \Rightarrow \text{nat}, \quad \text{nil} : \text{list}, \quad \text{cons} : \text{nat} \Rightarrow \text{list} \Rightarrow \text{list},$
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Show termination by **Static DPs** [Sakai, Kusakari, *TIS'05*], [Blanqui, *WST'06*]:

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Static Dependency Pairs and the Subterm Criterion

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Termination of Higher-Order Term Rewriting: app-lam

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Example (Encoding the Untyped Lambda-Calculus)

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So can't we just prohibit **Static DPs** for such malicious inputs?

Plain Function Passing (PFP)

Original soundness criterion for **Static DPs** [Kusakari, Isogai, Sakai, Blanqui, *TIS'09*] (adapted to our AFSMs):

Definition (Plain Function Passing (PFP))

A rewrite system \mathcal{R} is Plain Function Passing (PFP) if for all rules $f \ell_1 \dots \ell_n \rightarrow r \in \mathcal{R}$ and for all meta-variables F of **functional type** in r , we have $F = \ell_i$ for some i .

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- $\text{append} (\text{cons } x \ xs) \ ys \rightarrow \text{cons } x \ (\text{append } xs \ ys)$ ✓
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- $\text{app} (\text{lam } F) \ x \rightarrow F \ x$ ✗

Plain Function Passing (PFP)

Original soundness criterion for **Static DPs** [Kusakari, Isogai, Sakai, Blanqui, *TIS'09*] (adapted to our AFSMs):

Definition (Plain Function Passing (PFP))

A rewrite system \mathcal{R} is Plain Function Passing (PFP) if for all rules $f \ell_1 \dots \ell_n \rightarrow r \in \mathcal{R}$ and for all meta-variables F of **functional type** in r , we have $F = \ell_i$ for some i .

Theorem (Static DPs sound for Plain Function Passing \mathcal{R})

Let \mathcal{R} be a rewrite system that is PFP. Then **Static DPs** are sound for proving termination of \mathcal{R} .

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PFP improved in [Suzuki, Kusakari, Blanqui, *IPJSJ TOP'11*].

Termination of Higher-Order Term Rewriting: ord-rec

Example (Ordinal recursion; TPDB: Mixed_H0_10/ordrec.xml)

$$\begin{array}{l} \text{rec } 0 \ K \ F \ G \ \rightarrow \ K \\ \text{rec } (s \ X) \ K \ F \ G \ \rightarrow \ F \ X \ (\text{rec } X \ K \ F \ G) \\ \text{rec } (\text{lim } H) \ K \ F \ G \ \rightarrow \ G \ H \ (\lambda m. \text{rec } (H \ m) \ K \ F \ G) \end{array}$$

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→ Accessibility, see **General Schema** [Blanqui, Jouannaud, Okada, *TCS'02*], **Computability Path Ordering** [Blanqui, Jouannaud, Rubio, *LMCS'15*], and **Computability Closure** [Blanqui, *TCS'16*]

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Related criterion (incomparable): [Blanqui, WST'06]

Example (Static DPs for ord-rec)

$$\begin{array}{l} \text{rec}^\sharp (\text{s } X) K F G \quad \Rightarrow \quad \text{rec}^\sharp X K F G \\ \text{rec}^\sharp (\text{lim } H) K F G \quad \Rightarrow \quad \text{rec}^\sharp (H M) K F G \end{array}$$

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Candidate for Subterm Criterion: $\nu(\text{rec}^\sharp) = 1$.

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Recall minimal chains:

$$s_1 \Rightarrow t_1 \xrightarrow{*}_{\mathcal{R}} s_2 \Rightarrow t_2 \xrightarrow{*}_{\mathcal{R}} s_3 \Rightarrow t_3 \xrightarrow{*}_{\mathcal{R}} \dots$$

All t_i are \mathcal{R} -terminating.

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All t_i are **\mathcal{R} -computable** [Tait, JSL'67].

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Example (Static DPs for ord-rec)

$$\begin{array}{ccc} s X & \triangleright & X \\ \text{lim } H & \triangleright & H M \end{array}$$

Candidate for Subterm Criterion: $\nu(\text{rec}^\sharp) = 1$.

- $s X \triangleright X$ ✓
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Recall minimal chains:

$$s_1 \Rightarrow t_1 \xrightarrow{*}_{\mathcal{R}} s_2 \Rightarrow t_2 \xrightarrow{*}_{\mathcal{R}} s_3 \Rightarrow t_3 \xrightarrow{*}_{\mathcal{R}} \dots$$

All t_i are \mathcal{R} -terminating.

Soundness proof of **Static DPs** (when applicable) gives stronger property:

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\Rightarrow ord-rec is terminating by **Computable Subterm Criterion**

Conclusion

Here:

- Make Static DPs applicable to larger class of higher-order systems
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Further extensions available:

<https://arxiv.org/abs/1805.09390>

- Unified framework integrating static and dynamic DPs (dynamic DPs include DPs for calls to meta-variables of functional type)
- Many additional termination proving techniques as DP processors
- Completeness results wrt non-termination for static and dynamic DPs

Bonus slide: Plain Function Passing, v2

PFP: Must the F really be direct arguments on left-hand side?

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Example (deriv)

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$\sin, \cos : \text{real} \Rightarrow \text{real}, \quad \text{times} : \text{real} \Rightarrow \text{real} \Rightarrow \text{real},$
 $\text{deriv} : (\text{real} \Rightarrow \text{real}) \Rightarrow \text{real} \Rightarrow \text{real}$

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